

07/13/98
JCS05 U.S. PTO

NEW, CONTINUATION, DIVISIONAL OR
CONTINUATION-IN-PART APPLICATION
UNDER 37 C.F.R. §1.53(b)

Attorney Docket No. 6550000013
Express Mail Label No. EH 757 438 393 US
Date July 13, 1998

A
JCS05 U.S. PTO
09/11/98
07/13/98

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Hon. Commissioner of Patents and Trademarks
Washington, D. C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R §1.53(b) is a patent application for

**METHODS FOR PRODUCING LEAD-FREE *IN-SITU*
COMPOSITE SOLDER ALLOYS**

identified by: [XX]First named inventor Thomas R. Bieler
or [XX]Attorney Docket No. (see above)

1. Type of Application

- [X] This application is a new (non-continuing) application.
- [] This application is a [] continuation / [] divisional / [] continuation-in-part of prior application No. _____. Amend the specification by inserting before the first line the sentence:
- This is a [continuation/division/continuation-in-part] of United States patent application No. _____, filed _____.--
- [] The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

If for some reason applicant has not requested a sufficient extension of time in the parent application, and/or has not paid a sufficient fee for any necessary response in the parent application and/or for the extension of time necessary to prevent the abandonment of the parent application prior to the filing of this application, please consider this as a Request for an Extension for the required time period and/or authorization to charge our Deposit Account No. 08-0750 for any fee that may be due. THIS FORM IS BEING FILED IN TRIPLICATE: one copy for this application; one copy for use in connection with the Deposit Account (if applicable); and one copy for the above-mentioned parent application (if any extension of time is necessary).

2. Contents of Application

- a. Specification of 16 pages;
- [] A microfiche computer program (Appendix);
 - [] A nucleotide and/or amino acid sequence submission;
- [] Because the enclosed application is in a non-English language, a verified English translation [] is enclosed [] will be filed.
- [] Cancel original claims _____ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing date purposes.)
- b. [X] Drawings on five (5) sheets showing Figures 1A-5;

Attorney Docket No. 6550000013

Express Mail Label No. EH 757 438 393 US

Date July 13, 1998

- c. ☒ A signed Oath/Declaration ☒ is enclosed / ☐ will be filed in accordance with 37 C.F.R. §1.53(f).

The enclosed Oath/Declaration is ☒ newly executed / ☐ a copy from a prior application under 37 C.F.R. §1.63(d) / ☐ accompanied by a statement requesting the deletion of person(s) not inventors in the continuing application.

d. **Fees**

FILING FEE	Number	Number	Basic Fee
CALCULATION	Filed	Extra	Rate
			\$790.00
Total Claims	19 - 20 =	0 x	\$22.00 = \$0.00
Independent Claims	1 - 3 =	0 x	\$82.00 = \$0.00
Multiple Dependent Claim(s) Used			\$270.00 =
FILING FEE - NON-SMALL ENTITY			\$790.00
FILING FEE - SMALL ENTITY: Reduction by 1/2			
<input checked="" type="checkbox"/> Verified Statement under 37 C.F.R. §1.27 is enclosed.			\$395.00
<input type="checkbox"/> Verified Statement filed in prior application.			
Assignment Recordal Fee (\$40.00)			\$40.00
37 C.F.R. §1.17(k) Fee (non-English application)			
TOTAL			\$435.00

- ☒ A check is enclosed to cover the calculated fees. The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to Deposit Account No. 08-0750. A duplicate copy of this document is enclosed.

- ☐ The calculated fees will be paid within the time allotted for completion of the filing requirements.

- ☐ The calculated fees are to be charged to Deposit Account No. 08-0750. The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to said Deposit Account. A duplicate copy of this document is enclosed.

3. **Priority Information**

- ☐ **Foreign Priority:** Priority based on _____ Application No. _____, filed _____, is claimed.

- ☐ A copy of the above referenced priority document ☐ is enclosed / ☐ will be filed in due course, pursuant to 35 U.S.C. §119(a)-(d).

- ☐ **Provisional Application Priority:** Priority based on United States Provisional Application No. _____, filed _____, is claimed under 35 U.S.C. §119(e).

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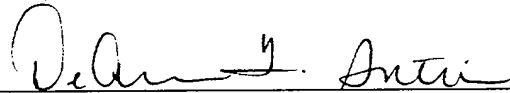
4. **Other Submissions**

- ☐ A Preliminary Amendment is enclosed.
- ☐ An Information Disclosure Statement, _____ sheets of PTO Form 1449, and _____ patent(s)/publications/documents are enclosed.
- ☒ A power of attorney
- ☒ is submitted ☒ with the new Oath/Declaration.
- ☐ is of record in the prior application and ☐ is in the original papers / ☐ a copy is enclosed.
- ☒ An Assignment of the invention
- ☒ is enclosed with a cover sheet pursuant to 37 C.F.R. §§3.11, 3.28 and 3.31.
- ☐ is of record in a prior application. The assignment is to _____, and is recorded at Reel _____, Frame(s) _____.
- ☒ An Establishment of Assignee's Right To Prosecute Application Under 37 C.F.R. §3.73(b), and Power Of Attorney is enclosed.
- ☒ An Express Mailing Certificate is enclosed.
- ☐ Other: _____

Attention is directed to the fact that the correspondence address for this application is:

Harness, Dickey & Pierce, P.L.C.
P.O. Box 828
Bloomfield Hills, Michigan 48303
(248) 641-1600.

Respectfully,



DeAnn F. Smith
Reg. No. 36,683

Date July 13, 1998
Harness, Dickey & Pierce, P.L.C.
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DFS/gmp

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit:)
)
Examiner:)
)
Inventor(s): Thomas R. Bieler, et al.) **ESTABLISHMENT OF**
) **ASSIGNEE'S RIGHT TO**
Serial No.) **PROSECUTE APPLICATION**
) **UNDER 37 C.F.R. § 3.73(b),**
Filed:) **AND POWER OF ATTORNEY**
)
For: Methods For Producing Lead-Free)
In-Situ Composite Solder Alloys)
_____)

Hon. Commissioner Of Patents & Trademarks
Washington, D. C. 20231

Sir:

Under 37 C.F.R. § 3.73(b), the undersigned hereby establishes the below-named
Assignee's ownership in the above-identified Application:

Assignee: Board Of Trustees Operating Michigan State University
East Lansing, Michigan 48824

The documentary evidence of a chain of title from the original owner to the Assignee
is provided in the Assignment Document(s):

XX filed herewith.
_____ previously filed,
Reel No. _____, Frame No. _____.

I hereby declare that all statements made herein of my own knowledge are true, and
that all statements made on information and belief are believed to be true; and further that
these statements are made with the knowledge that willful false statements, and the like so
made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the

United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Assignee furthermore hereby appoints DeAnn F. Smith, Reg. No. 36,683, and each other principal, attorney of counsel, associate and employee of Harness, Dickey & Pierce, P.L.C., who is a registered Patent Attorney, as attorney of record, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. I request the Patent and Trademark Office to direct all correspondence and telephone calls relative to this application to Harness, Dickey & Pierce, P.L.C., P. O. Box 828, Bloomfield Hills, Michigan 48303 (telephone 248-641-1600).

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

Date: 30 June 88

Mark A. Murray
Name: *Mark A. Murray*
Title: Associate VP for Business & Finance
Assignee: Board Of Trustees Operating
Michigan State University

METHODS FOR PRODUCING LEAD-FREE IN-SITU COMPOSITE SOLDER ALLOYS

FIELD OF THE INVENTION

5 This invention generally relates to methods for improving the mechanical properties of solder and more specifically, to methods for producing a composite lead-free solder having an uniformly distributed intermetallic phase.

BACKGROUND OF THE INVENTION

 The most common solder materials are tin/lead solders. These solders have
10 been used to join metals for at least a millennium, and they have been the best material for the interconnection of modern electronic components and packages during the past several decades. The prevalent use of tin/lead solders is due mainly to their low cost and unique combination of material properties. McCormack, M. et al., *Proc. IEEE/CPMT Int'l Electronics Manufacturing Technology Symp.* pp. 7-14
15 (1994).

 However in light of recent environmental and health concerns, there have been increasing research efforts to develop lead-free solders to replace the tin/lead solders. Shangguan, D. et al., *Proc. IEEE/CPMT Int'l Electronics Manufacturing Technology Symp.*, pp. 25-37 (1994). Lead and lead-bearing compounds are among the most
20 toxic substances, and the environmental and health issues concerning the toxicity of lead threaten to ban the use of lead-bearing solders in electronic applications. These concerns have inspired a great deal of research effort in the development of suitable lead-free solder alloys.

 The potential candidates of lead-free solders which have been investigated are
25 eutectic Sn/Bi, eutectic Sn/Ag, eutectic Sn/Zn, and eutectic Sn/In. Even though some lead-free solders have been in use in special applications for several years, there is no suitable substitute for tin/lead solder alloys at present.

 Miniaturization in the microelectronics industry and the widespread use of surface mount technology (SMT) which was introduced in the 1960's, have also
30 demanded higher performance of solders. Solders have to provide a structural function by mechanically supporting the electronic devices in addition to playing a role as the electronic contacts between printed circuit substrates and surface mount devices. Lau, J.H. et al., *Solid State Tech.* pp. 91-104 (1985). The mechanical properties of solders have also become more important because of the small size of
35 solder joints in high density surface mount device packages. The development of

alternative lead-free solders with superior mechanical properties to tin/lead solder leading to improved reliability of solder joints, is thus desirable.

The service temperature for solders are generally high relative to their absolute melting point and solder microstructures tend to be highly unstable under typical operating conditions. Solder materials are also readily subject to thermal stresses induced by the thermal expansion mismatch between components and substrates during thermal cycling. Consequently, the development of alternative solder materials possessing stable microstructure and improved mechanical strength to ensure reliability of solder joints is also desirable.

- 10 Approaches to strengthen the conventional solder materials by introducing fine second phase particles to solder to create a composite have been under investigation. Marshall, J.L. et al., *Proc. Conf. NEPCON.*, West Anaheim, CA, pp. 1278-1283 (1992); Betrabet, H.S. et al., *Script Metall.* 25:2323-2328 (1991); Sastry, S.M.L., et al., *Proc. Conf. NEPCON*, West Anaheim, CA, pp. 1266-1275 (1992); Clough, R.B. et al.,
15 *Proc. Conf. NEPCON.*, West Anaheim, CA, pp. 1256-1265 (1992); Pinizzotto, R.F. et al., *Proc. Conf. NEPCON.*, West Anaheim, CA, pp. 1284-1298 (1992); Betrabet, H.S. et al., *Proc. Conf. NEPCON.*, West Anaheim, CA, pp. 1276-1277 (1992); Kuo, C.G. et al., *1st Int'l. Conf. Microstructures and Mechanical Properties of Aging Materials*, ed. P.K. Liaw, R. Viswanathm, K.L. Murty, E.P. Simonen and D.R. Frear, The
20 Minerals Metals & Materials Society, TMS, Warrendale, PA, pp. 417-423 (1993); Kuo, C.G. et al., *1st Int'l. Conf. Microstructures and Mechanical Properties of Aging Materials*, ed. P.K. Liaw, R. Viswanathm, K.L. Murty, E.P. Simonen and D.R. Frear, The Minerals Metals & Materials Society, TMS, Warrendale, PA, pp. 409-415 (1993). Such particles may be introduced either by precipitation from supersaturated solids
25 or liquid solution or by external addition of foreign particles as dispersoids. The precipitation strengthening may not be a suitable method because the precipitates are generally prone to coarsening unless one or more of the constituent elements in the precipitate phase are chosen to have low solubility and diffusivity in the solder matrix. On the other hand, foreign dispersoid particles introduced within solder alloys would
30 not coarsen easily since the elements or compounds involved can be chosen to have low solubilities and diffusivities or no reactivity with the matrix solder alloy. McCormack, M. et al., *IEEE Trans. Comp. Hybrids Manuf. Tech.-Part A* 17(3):452-457 (1994). Mechanical methods such as vigorous shearing while the composite solder is in a semi-solid phase have also been used to produce intermetallic phases with
35 small homogeneous particles (U.S. Patent No. 5,094,700).

Several types of particles have been used to produce composite solder with stable microstructure and improved mechanical properties. The representative particles used are the powder of intermetallic compounds (Cu_6Sn_5 , Cu_3Sn), elemental metals such as Cu, Ni, Ag, Au to introduce intermetallic compounds with Sn in the solder matrix, aluminum oxide (Jin, S., *Final Program ASM-TMS Materials Week '96*, ASM International and The Minerals, Metals & Materials Society, Cincinnati, Ohio, pp. 116 (1996)), and carbon fiber.

The intermetallic phase dispersoids should be small enough and closely spaced to yield significant strengthening without considerable loss in ductility. It is also important to uniformly distribute small dispersoid particles in sufficient quantity and maintain this dispersion after the alloy is melted and reflowed to positively affect mechanical properties of the solid solder. A magnetic field was applied to molten solders containing fine Fe particles to overcome the gravity-induced particle segregation problem and to achieve a microstructure with a uniformly distributed three-dimensional network of the dispersoid particles. McCormack, M. et al., *IEEE Trans. Comp. Hybrids Manuf. Tech.-Part A* 17(3):452-457 (1994).

The dispersoids should make composite solder more resistant to creep-fatigue deformations by acting as heterogeneous nucleation sites to produce a very fine, initial microstructure of solder matrix upon reflow, and by serving as obstacles to grain growth, crack growth, and microstructural coarsening to retain the stable microstructure of solder even after thermal exposure. Betrabet, H.S. et al., *Script Metall.* 25:2323-2328 (1991); Wasynczuk, J.A. et al., *Proc. Conf. NEPCON*, West Anaheim, CA, pp. 1245-1255 (1992); Marshall, J.L. et al., *IEEE Trans. Comp. Hybrids Manuf. Tech.* 14(4):698-702 (1991).

There is also a need for a solder with a low coefficient of thermal expansion, since the stresses are thermally induced in solder joints due to the difference in thermal expansion coefficient between substrate and solder. Carbon fiber with nearly zero thermal expansion coefficient as a dispersoid was developed to adjust the thermal expansion coefficient of solder. Composite solders with the amount of carbon fiber which makes thermal expansion coefficient of solder to be similar to that of substrate, exhibited prolonged fatigue lives. Ho, C.T. et al., *J. Mater. Res.* 5(6):1266-1270 (1990).

Solder joints in electronic modules undergo temperature cycling which is caused by power on/off cycles, daily temperature variations, and seasonal changes. Solder joints are subject to a cyclic loading condition imposed by thermal stresses

induced by the difference between the thermal expansion of electronic components. Since the thermal cycling ordinarily exhibits a low strain-rate, solder joints basically undergo low frequency thermal fatigue. The service temperature of solder joints corresponding to high homologous temperature and relatively long hold times are
5 often encountered during service conditions. Thus time-dependent, thermally-activated deformation or creep becomes significant at high homologous temperature. Thermal aging and cycling lead to heterogeneous coarsening that accelerates the nucleation of cracks. Frear, D.R. et al., *JOM*, pgs. 18-22 (June, 1988). Consequently, damage or failure of solder joints is caused by creep-fatigue interaction
10 which typically describes the cyclic application of a load at temperatures where time dependent, thermally-activated processes are significant.

The creep-fatigue damage in solder joints has been identified in the analysis of fracture surface of solder joints which were thermally cycled, where fatigue striations were observed side by side with creep voids and extensive intergranular
15 cracking. Attarwala, A.I. et al., *J. Electron. Packag.* 114:109-111 (1992). The eutectic tin/lead solder subjected to isothermal cyclic loading at room temperature showed that deformation occurred primarily by creep process and was very sensitive to hold time or frequency resulting in increasing creep rate and decreasing cycles to failure with increasing hold time. Weinbel, R.C. et al., *J. Mater. Sci.* 22:3901-3906 (1987); Tien,
20 J.K. et al., *IEEE Trans. Comp. Hybrids Manuf. Tech.* 12(4):502-505 (1989). Creep was also observed to be the predominant mode of deformation for isothermal fatigue in which good correlation was found between the isothermal fatigue life of solder joints and the amount of creep strain per cycle. Shine, M.C. et al., *ASTM STP* 942:588-610 (1988).

25 In summary, the reliability concerns of solder joints in addition to the environmental concerns in the use of toxic materials, place greater demands on the performance of solder joints especially in electronic applications. It would thus be desirable to provide an improved solder which meets these demands. It would also be desirable to provide an improved solder which is lead-free. It would further be
30 desirable to provide lead-free composite solders incorporating particulate reinforcement that enhances the solder's resistance to thermal fatigue damage. It would also be desirable to provide a method for producing lead-free, *in-situ* composite solders which yield solder joints with improved reliability, especially in electrical systems. It would yet further be desirable to provide a composite lead-free, *in-situ*

solder having a microstructure such that a solder joint employing the solder would have improved resistance to creep and thermal fatigue damage.

SUMMARY OF THE INVENTION

The present invention provides methods for producing a lead-free, *in-situ* composite solder comprising an eutectic lead-free solder and a homogeneous intermetallic phase. The methods of the instant invention produce a composite solder comprising an intermetallic phase that is uniformly distributed throughout the solder having a particulate size of preferably less than or equal to about 10 microns. The resulting composite solder produces solder joints with increased strength, lower creep rates, and enhanced ductility as compared to known lead-free solders. While not wishing to be bound by theory, it is believed that the improved mechanical behavior and properties of the solders of the present invention result from fine and equiaxed microstructure and uniform distribution of intermetallic particles which can be obtained from a fast cooling rate during solidification.

The solder formed by the methods of the present invention can be provided in many forms as needed for particular solder applications. For example, the solder can be provided as solder wire, solder sheet, solder ingot and solder powder. The solder formed by the methods described herein can thus be used in numerous applications as a replacement for lead-containing solder, *e.g.*, in solder reflow and other soldering processes widely used in the electronic industry.

Additional objects, advantages, and features of the present invention will become apparent from the following description and appended claims, taken in conjunction with the accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

The various advantages of the present invention will become apparent to one skilled in the art by reading the following specification and subjoined claims and by referencing the following drawings in which:

Figures 1A-1B are graphs illustrating the predicted values for intermetallic particle size and velocity as determined by Stokes law;

Figures 2A-2B are scanning electron photomicrographs showing the effect of the rate of cooling on the microstructure of the Cu_6Sn_5 intermetallic phase in an eutectic Sn/Ag composite solder;

Figures 3A-3C are scanning electron photomicrographs detailing the microstructure of eutectic Sn/Ag composite solder joints;

Figure 4 is a graph comparing the creep strain-rate in eutectic Sn/Ag composite solder joints to non-composite Sn/Ag solder joints; and

Figure 5 is a graph illustrating the results of tensile tests of single lap shear specimens of composite and non-composite eutectic solders.

5 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Methods for producing lead-free, *in-situ* composite solders with intermetallic particulate reinforcements are provided. In one embodiment, a method of the present invention comprises the steps of identifying a solder that will be the matrix of the composite solder, providing a class of intermetallic compound for the strengthening
10 phase and mixing the solder and the elements that will form the intermetallic compound in the desired ratio. The method further comprises heating the mixture until all of the individual components are melted (non-solid) and then rapidly cooling the mixture by quickly reducing the temperature (e.g., by splat quenching or a similar method), thereby solidifying the mixture. In an alternative embodiment, after heating
15 the mixture, the mixture is cooled until it is in solid form and reheated to a temperature slightly above the melting temperature of the intermetallic compound, and then rapidly cooled. The resulting solder has very fine precipitates of the intermetallic phase. In one embodiment, the particulate size is less than or equal to about 10 microns. In another embodiment, the particulate size is less than about 2 microns.

20 It is appreciated that fracture initiation in solder joints results from excessive straining in locations commonly found in three regions: i) at undesirable intermetallic particles that form within solder joints in an inhomogeneous manner due to the melt-solidification process during soldering, ii) at the interface layer between the solder and the substrate where these same intermetallic particles form as a continuous interlayer,
25 or iii) at regions of microstructure where thermal cycling and stress have caused a change in scale or dimension of microstructure features. Microstructural damage that leads to crack nucleation is caused by these regions which deform differently from the rest of the solder.

The methods of the present invention provide solder compositions comprising
30 intermetallic particles found homogeneously throughout the solder. The homogeneously placed intermetallic particles therefore do not act as local stress concentrators that cause aberrations in the microstructure. While not wishing to be bound by theory, it is believed that the benefits of the resulting solder include, but are not limited to, i) a reduction in the thermal expansion coefficient, which is a driving
35 force for damage accumulated due to thermal cycling, ii) a strengthening of the solder

joint causing less overall deformation, and hence, reduce creep and fatigue damage under service conditions, iii) limited recrystallization and growth of large grains, since grain boundary motion is inhibited or arrested by the particles, and iv) the preclusion of strains being concentrated in one location of the joint.

5 A composite solder of the present invention is formed by introducing an intermetallic phase to a lead-free solder. For example, Cu and Sn may be added to Sn/Ag solder (96.5 Sn/3.5 Ag) to produce an intermetallic phase of Cu_6Sn_5 . The mixture is heated to a temperature greater than the highest melting point of the constituent materials such that all components exist as liquids. After heating for a
10 sufficient time to ensure melting of all components, the molten solder is allowed to cool and solidify. The composite material is then reheated to a temperature greater than the melting point of the intermetallic phase and cooled rapidly to form a fine, homogeneous intermetallic dispersion with a particulate size less than or equal to about 10 microns. The rate of cooling is indirectly proportional to the resulting particle
15 size of the intermetallic phase and therefore the more rapid the molten mixture is cooled, the smaller the particle size of the intermetallic phase. Rapid cooling can be achieved by splat quenching on copper plates, spray atomization, continuous casting into solid form, rapid solidification melt spinning or other rapid solidification processes known to those skilled in the art. By "rapid cooling" or "rapidly cooling" is meant
20 cooling at a rate greater than or equal to about $100^\circ\text{C}/\text{sec}$.

 In one embodiment a lead-free eutectic solder is used, e.g., a lead-free binary or ternary eutectic solder. However, any conventional solder including commercially available solders may be employed. Examples of suitable binary eutectic solders include, but are not limited to, Sn/Ag, Sn/Sb, Sn/In, Sn/Zn and Sn/Bi. Suitable ternary
25 eutectic solders include, but are not limited to, Sn/Pb/Ag, Sn/Bi/Sb, Sn/Ag/Cu (U.S. Patent No. 5,527,628) and Sn/Bi/In (U.S. Patent No. 5,344,607). It will be appreciated that binary and ternary near-eutectic solders can also be used with the present invention. By "near-eutectic solder" is meant a solder in which the composition of the minority element varies up to about 20% from that of the eutectic solder. It will be
30 appreciated that the choice of solder is chosen based on its technical suitability as a soldering material.

 In the methods of the present invention, the elements that will form an intermetallic compound as the strengthening phase are combined with the lead-free binary or ternary solder. Examples of low cost and convenient elements include the
35 transition metals between Ti and Zn. Preferably, the intermetallic compound contains

at least one element present in the solder and has a density that is similar to the eutectic solder. More preferably, the density of the intermetallic compound will be within about 10% of the eutectic solder. If the difference in density between the intermetallic compound and the eutectic solder is too great, the resulting reflow of the solder will be impacted resulting in a non-homogenous distribution of the intermetallic phase.

The desired density of the intermetallic phase can be determined by Stokes Law. The intermetallic phase should have a density such that the velocity of the particles of the intermetallic phase during remelt will not significantly impact the homogenous distribution of particles.

The upward velocity (v) driven by buoyancy of a spherical second phase (*i.e.*, intermetallic phase) in molten solder can be predicted by Stokes Law:

$$v = g \Delta\rho d^2 / 18\eta$$

where v is the velocity of the spherical phase, $g = 9.8 \text{ m/s}^2$ (the gravitational constant), $\Delta\rho = \rho - \rho_o$ (Mg/m^3) (the difference between the density of the solder (ρ) and the other phase (ρ_o)), d (μm) is the diameter, and η (Pa-s) is the dynamic viscosity of the molten solder. The above equation can be applied in choosing the reinforcing intermetallic particles used in the methods of the present invention wherein v is the velocity of a reinforcing intermetallic particle, $\Delta\rho = \rho - \rho_o$ describes the difference between the density of the solder and the particle, and d is the particle diameter. Rearranging the above equation results in:

$$\Delta\rho = 18 v \eta / g d^2$$

Plots of both equations are shown in Figures 1A and 1B using approximate values for some parameters described in greater detail below. The circles and the boxes represent the same condition in the two plots. An average solder joint thickness can be used as a meaningful distance, and 30 seconds as a meaningful time that the joint is molten. If the velocity is between 0.1 and 1 $\mu\text{m/s}$, then the particle travels between 3 and 30 μm , a distance that would not permit reinforcements to accumulate on either side of a 100 μm joint, with the former velocity being preferred. The dynamic viscosity, η , of the molten eutectic lead-tin solder of about 0.13 Pa sec at 280°C is used as a representative for solder materials. Clough, R.B. et al., "Preparation and Properties of Reflowed Paste and Bulk Composite Solder," Proc. Technical Program Vol III, National Electronic Packaging and Production Conference, (Cahners Exhibition group, Des Plains, IL, 1992), pp. 1256-65. The actual viscosity may be higher due to the presence of the particles. At an extreme,

it can be assumed that the density of a particle is zero, as in a bubble, so $\Delta\rho$ is equal to ρ and this becomes the highest conceivable value of $\Delta\rho$, and intermetallic particles will have a smaller value of $\Delta\rho$. Therefore, Stoke's law predicts that the larger the particle diameter, the greater the velocity. For example, for a 10 μm particle in the lowest viscosity condition with a density difference of 1 Mg/m^3 (the difference in density between Sn (7.3) and Cu_6Sn_5 (8.3)), the velocity is near 0.5 $\mu\text{m/s}$, resulting in a travel distance of 15 μm in 30 seconds of molten time. Note that with smaller particles, the distance travelled will decrease with the square of the diameter, such that a 5 μm particle will travel only 1/4 as far as the 10 μm particle. Such conditions make the melt-reflow of solder joints technically possible with a solid phase within the solder having a modest density difference and a reasonably short time for being molten.

In yet another embodiment, the intermetallic compound will comprise from about 5 to about 95 percent by volume (volume %) of the final composite solder. Preferably, the intermetallic phase will comprise from about 10 to about 40 percent by volume of the final composite solder. More preferably, the composite solder will comprise about 20 percent by volume of the intermetallic compound.

It will be appreciated that the composition of the intermetallic component may be determined using phase diagrams for the eutectic solders found in Material Science & Metallurgy references such as Smithell's Metals Reference Book, 7th ed., E.A. Brandes and G.B. Brook, eds., Butterworth & Heinemann, Oxford, 1992. For example, if a Sn/Ag eutectic solder and a Ni/Sn intermetallic phase are used, the intermetallic component that is the closest one to the tin, as determined from the phase diagram, is Ni_3Sn_4 . If a volume fraction of the intermetallic phase of about 20% is desired in the composite solder, then the composite solder would comprise 100 g of the Sn/Ag composite solder and 20 g of the mixture of Sn and Ni that make the intermetallic. In this example, Ni_3Sn_4 contains about 72% Sn by weight so the 20 g mixture of Sn and Ni would comprise 14.4 g of Sn and 5.6 g of Ni. If the intermetallic phase is Nb/Sn, then the best intermetallic compound would be NbSn_2 which is also about 72 % Sn. In yet another example, FeSn_2 is about 81% Sn by weight, so FeSn_2 particles could be made *in-situ* in the composite solder at 20 percent by volume by the addition of a mix of 81% Sn and 19% Fe by weight to a eutectic solder. Another example of an intermetallic phase that could be used with tin-based solders is Cu_6Sn_5 which contains 61% Sn and 39% Cu by weight. Any combination of metallic elements that provide a desirable intermetallic strengthening phase may thus be chosen by

those skilled in the art, in accordance with the principles of the invention set forth herein.

In another embodiment of the methods of the present invention, the components of the intermetallic phase and the eutectic solder are added to an apparatus for processing. The apparatus, such as a container, must be able to withstand the required temperatures used to produce the *in-situ* composite solder, e.g., a covered graphite crucible would be suitable. The mixture is then heated to a temperature that is greater than the highest melting temperature of the individual components. For example, if the solder is Sn/Ag and the intermetallic phase is Cu_6Sn_5 , the mixture is heated to a temperature above the melting temperature of pure Cu, which has the highest melting point, 1085 °C, among the constituent materials. In one embodiment, the apparatus is an induction furnace with a reducing gas environment. The components of the composite solder are melted in an inert atmosphere, preferably argon or helium, to prevent oxidation of the component materials during melting. Once the desired temperature is reached, it is maintained for several minutes to ensure complete melting and mixing of all the constituent materials. The heating element is then turned off and the melt is solidified and slowly cooled to room temperature.

In yet another embodiment, the cooled solder is remelted to a temperature above the melting temperature of the intermetallic phase such that all reinforcing intermetallic particles dissolve in the molten solder. It will be appreciated that the remelt temperature may be determined using phase diagrams for metallic compounds found in Material Science & Metallurgy references such as Smithell's Metals Reference Book, 7th ed., E.A. Brandes and G.B. Brook, eds., Butterworth & Heinemann, Oxford, 1992. With the example of a Sn/Ag eutectic solder having an intermetallic phase of Cu_6Sn_5 , the composite solder is remelted at a temperature of 676 °C. The melt is then rapidly cooled and solidified to form a fine particulate intermetallic structure. Rapid cooling can be achieved by splat quenching, spray atomization, continuous casting into solid form, rapid solidification melt spinning or other rapid solidification processes known to those skilled in the art.

Splat quenching involves pouring the melted composite solder onto a copper plate, producing a circular splat of solder. A second copper plate can be placed on top of the solder to induce even more rapid cooling.

Spray atomization can also be employed for rapid cooling and solidification of the molten composite solder, producing powders from which paste solders can be

made. For example, solder powder of the present invention can be produced as ultrafine rapidly solidified and generally spherical powder by high pressure gas atomization (HPGA).

Continuous casting can also be used to achieve rapid cooling. In one method of continuous casting, the molten composite solder can be processed between water-cooled rollers forming sheets of solder.

Rapid solidification melt spinning, where the molten composite solder is sprayed onto rotating, water-cooled cylinders forming ribbons of solid composite solder is yet another means of achieving rapid cooling.

It will be recognized by those skilled in the art that the steps of the methods of the present invention can be combined in a single operation with a properly designed apparatus. With the proper temperature control, the initial composite solder can be formed at the appropriate temperature, slowly cooled to a temperature just above the solidification temperature of the intermetallic phase, and then rapidly cooled to produce a solder with the desired properties.

The melting and rapid cooling of the composite solder results in an intermetallic phase comprising fine particles with a size preferably less than about 10 microns. More preferably the size of the intermetallic particles are less than about 5 microns. Most preferably the intermetallic particles have a size less than about 2 microns.

The micrographs shown in Figures 2A and 2B show the effect of cooling on the size of the intermetallic phase particles in an eutectic Sn/Ag composite solder with a Cu_6Sn_5 intermetallic phase. The optical microscopy images of the microstructure of the composite solder were recorded using an Olympus PM 3 microscope. The intermetallic microstructure of the slow-cooled eutectic composite solder exhibited 50-200 μm rod shaped Cu_6Sn_5 intermetallic phase particles surrounded by colonized eutectic Sn/Ag microstructure (Figure 2A). The observed structures have a large intermetallic size significantly greater than 10 microns. In contrast, when the eutectic composite solder was rapidly cooled and solidified, the Cu_6Sn_5 intermetallic phase consisted of very fine particles with a size less than 2 microns distributed homogeneously throughout the eutectic Sn/Ag matrix (Figure 2B). Similar results were obtained when a Sn/Pb (63 Sn/37 Pb) solder was used as the matrix with a Cu_6Sn_5 intermetallic phase. When this eutectic composite solder was used as preforms to make 1 mm x 0.1 mm thick solder joints by methods known to those skilled in the art, the melt-reflowed solder joint retained the fine particle structure and

homogeneous distribution of the Cu_6Sn_5 intermetallic phase (Figure 3A). Similar results were observed when the intermetallic phases were Ni_3Sn_4 and FeSn_2 in a Sn/Ag composite solder (Figures 3B and 3C).

5 The solder produced by the methods of the invention can be provided in numerous forms as needed for any particular solder application. For example, the solder produced as described herein can be provided as solder wire, solder sheet, solder ingot, and solder powder. The solder wire and sheet forms can be manufactured by conventional solder manufacturing techniques. Solder powder can be formed by spray atomization techniques, *e.g.*, as discussed herein, or by
10 atomization processes including other high pressure, close-coupled atomization processes using inert gas or air atomizing gas and low pressure and free-fall gas atomization processes. Such ultrafine rapidly solidified, generally spherical powder, can be incorporated into electronic solder pastes for use in circuit assembly by means of surface mount technology.

15 The solder formed by the methods of the present invention can be used to form solder joints superior to those of eutectic solders alone and those with coarser intermetallic phases. The solder joint can be formed using methods known to those skilled in the art, appropriate for any particular application. The heating history employed is similar to common manufacturing practice wherein the temperature used
20 to melt or soften the solder is about 50°C above the melting point of the solder matrix. During this process, the solder matrix melts while the intermetallic reinforcements remain as solids. Upon cooling of the solder joint, the intermetallic particles remain evenly distributed. For example, two copper dogbone halves may be treated with a liquid flux containing 25-35 weight % zinc chloride, 2-7 weight % ammonium chloride,
25 1-5 weight % hydrochloric acid in a water base prior to forming the solder joint. It will be appreciated that any appropriate flux solution can be used to pretreat the surfaces to be joined. After preparation, a piece of eutectic Sn/Ag composite solder, preformed into 100 micron thick sheets, was sandwiched between the two pieces of copper. The assembly was then placed on a preheated hotplate and the temperature was allowed
30 to reach 270°C before being removed to cool. As observed in the photomicrographs in Figures 2A-2C, the intermetallic phase of the solder remains evenly distributed throughout the joint even though a small amount of coarsening has occurred. The intermetallic phases of the eutectic Sn/Ag composite solder were Cu_6Sn_5 (Figure 3A), Ni_3Sn_4 (Figure 3B), and FeSn_2 (Figure 3C).

The solder formed by the methods of the present invention provide greater solder joint strength and creep resistance as compared to lead or lead-free eutectic non-composite solders. The creep behavior of both unaged and aged composite solder joints was improved by a factor of 100-1000 as a consequence of the composite phase of the solder formed by the methods of the present invention (Figure 4). Although the creep resistance of aged joints was degraded, composite solders produced by the methods of the present invention still exhibit better creep behavior as compared to the corresponding non-composite eutectic solders (solid line, Figure 4).

Tensile tests were conducted on single shear lap specimens to determine how the *in-situ* reinforcements affected the ductility and strength. The results presented in Figure 5 show that the elongation was uniformly increased in the composite solder specimens as compared to non-composite solder specimens by as much as a factor of two. The rate of increase in stress with strain (work hardening) was lower for the composite solder as well. These two observations indicate that the strain is spread out more evenly throughout the solder joint in the composite specimen. This greater ductility indicates that under similar strain controlled fatigue conditions, more cumulative strain cycling can be tolerated in the composite solder as compared to the non-composite, which will increase the fatigue life. Also, the fact that the strain hardening rate is lower indicates that the stress imposed for a given shear strain in the composite is lower, which will put less stress on the board, components, and the solder, which will lead to less damage accumulation per cycle.

Those skilled in the art can now appreciate from the foregoing description that the broad teachings of the present invention can be implemented in a variety of forms. Therefore, while this invention has been described in connection with particular examples thereof, the true scope of the invention should not be so limited since other modifications will become apparent to the skilled practitioner upon a study of the drawings, specification, and the following claims.

All references and patents referred to herein are expressly incorporated by reference.

WE CLAIM:

1. A method for producing an *in-situ* composite solder having an intermetallic phase comprising the steps of:
 - a) combining a solder with the components of the intermetallic phase to form a mixture;
 - 5 b) heating the mixture of step a) to form a non-solid;
 - c) rapidly cooling the mixture of step b).
2. The method of Claim 1 where the solder matrix is a lead-free eutectic solder.
3. The method of Claim 1 where the solder matrix is a binary eutectic solder.
4. The method of Claim 1 where the solder matrix is a ternary eutectic solder.
5. The method of Claim 1 where the solder matrix is a near-eutectic solder.
6. The method of Claim 1 where the eutectic solder matrix is 96.5 Sn/3.5 Ag.
7. The method of Claim 1 where the intermetallic phase comprises about 20 volume % of the composite solder.
8. The method of Claim 1 where the intermetallic phase comprises one of the elements of the eutectic solder and a transition metal.
9. The method of Claim 1 where the intermetallic phase comprises Cu_6Sn_5 .
10. The method of Claim 1 where the intermetallic phase comprises Ni_3Sn_4 .
11. The method of Claim 1 where the intermetallic phase comprises FeSn_2 .

12. The method of Claim 1 where the mixture is heated to a temperature greater than the highest melting point of any of the individual mixture components.

13. The method of Claim 1 where the mixture is rapidly cooled by splat quenching.

14. The method of Claim 1 where the mixture is rapidly cooled by spray atomization.

15. The method of Claim 1 where the mixture is rapidly cooled by continuous casting into a solid form.

16. The method of Claim 1 where the non-solid mixture of step b) is cooled to form a solid and then heated to form a non-solid, prior to the rapid cooling of step c).

17. A solder produced by the method of Claim 1.

18. The solder of Claim 17 comprising an intermetallic phase with particles less than about 10 microns.

19. The solder of Claim 17 comprising an intermetallic phase with particles less than about 2 microns.

ABSTRACT

Methods are disclosed for producing *in-situ* composite solders having a particulate intermetallic phase homogeneously distributed throughout the solder matrix. An eutectic solder is mixed with the components of the intermetallic phase, melted and rapidly cooled to form the desired solder. *In-situ* composite solder alloys formed by the disclosed method provide greater solder joint strength and fatigue resistance.

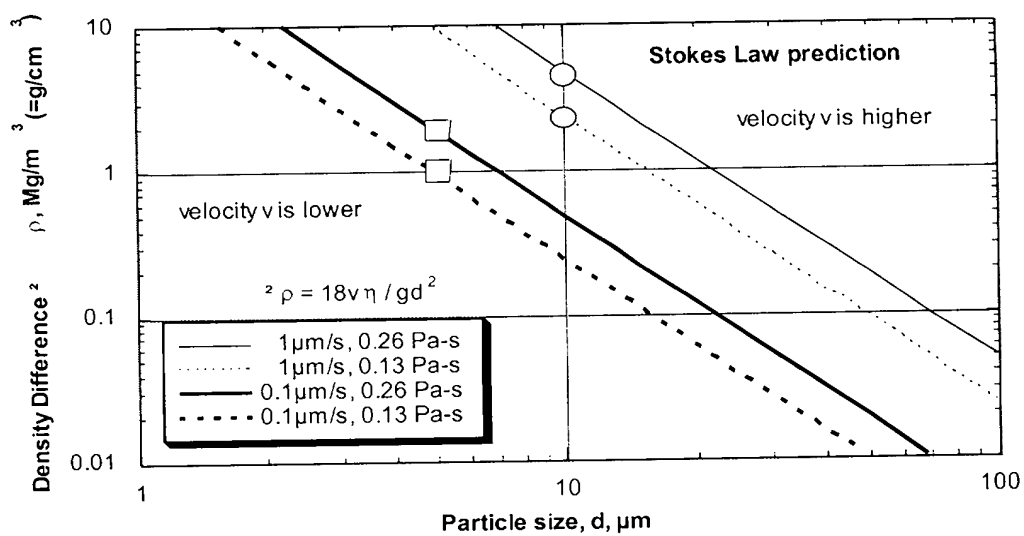


Figure 1A

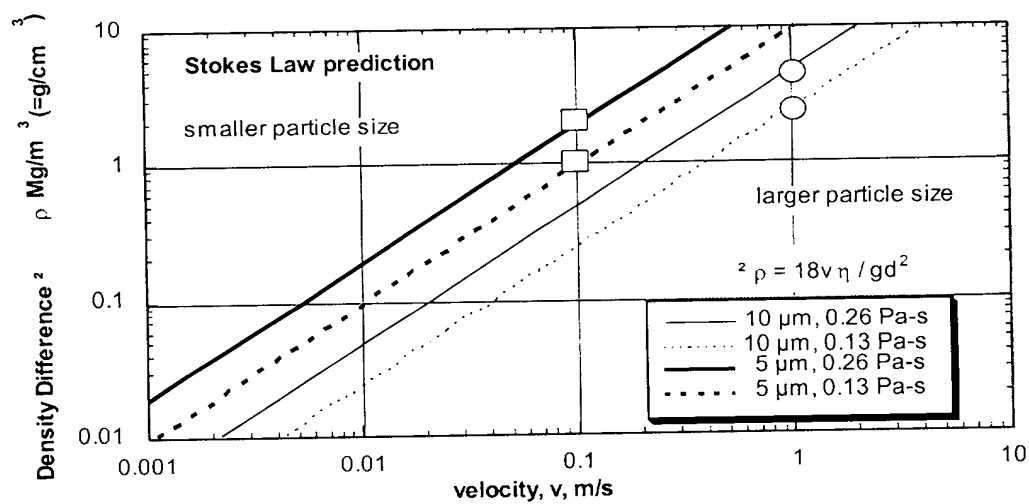
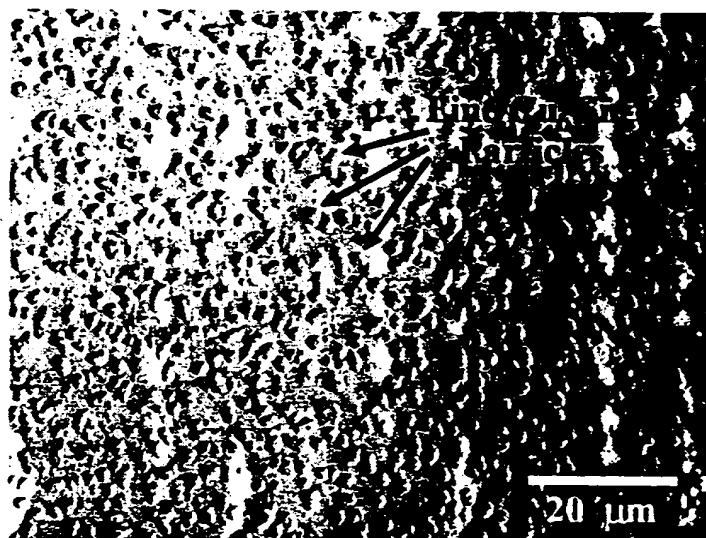


Figure 1B

Figure 2A



Figure 2B



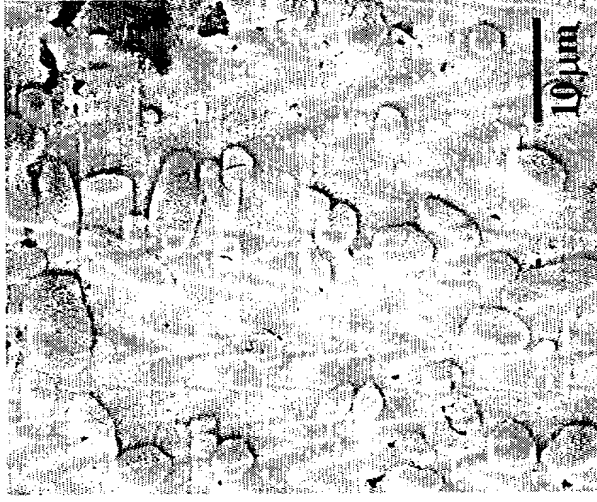


Figure 3A

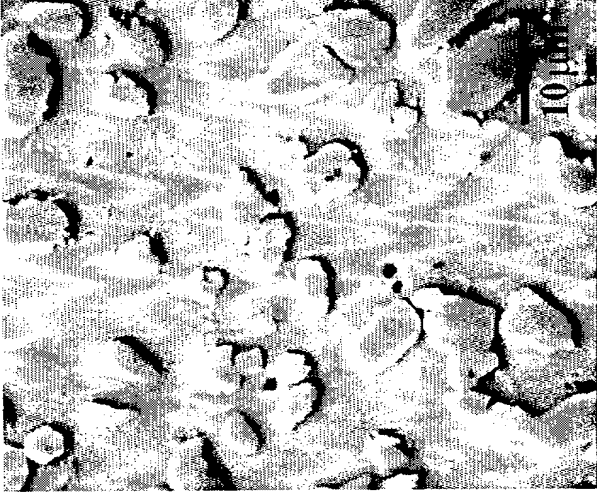
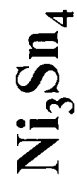


Figure 3B

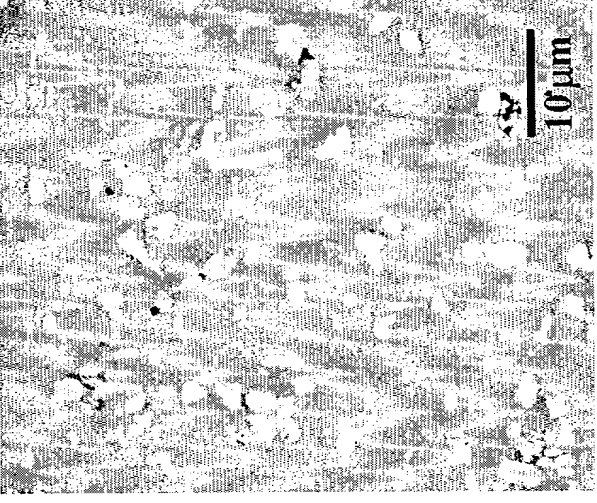
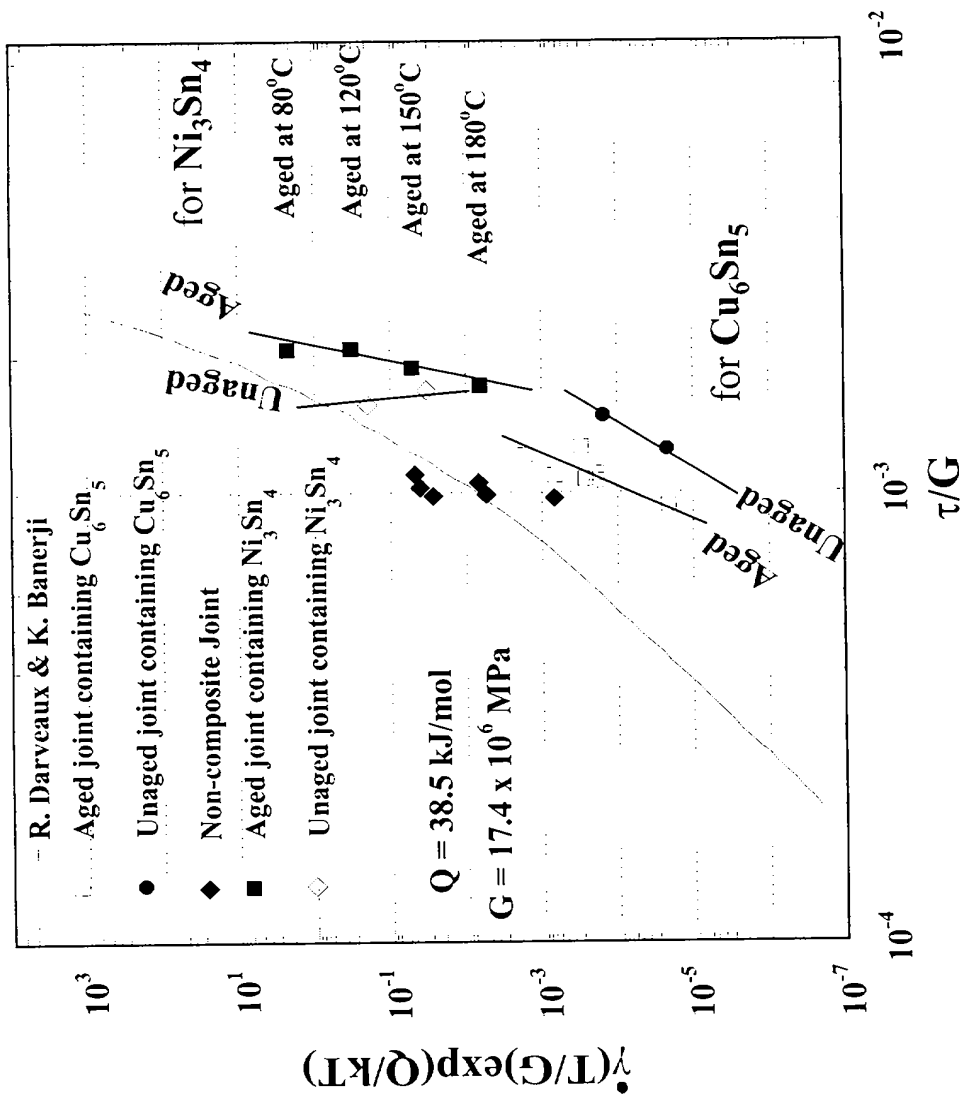


Figure 3C



Opposite
Trend
(Ni_3Sn_4)

Figure 4

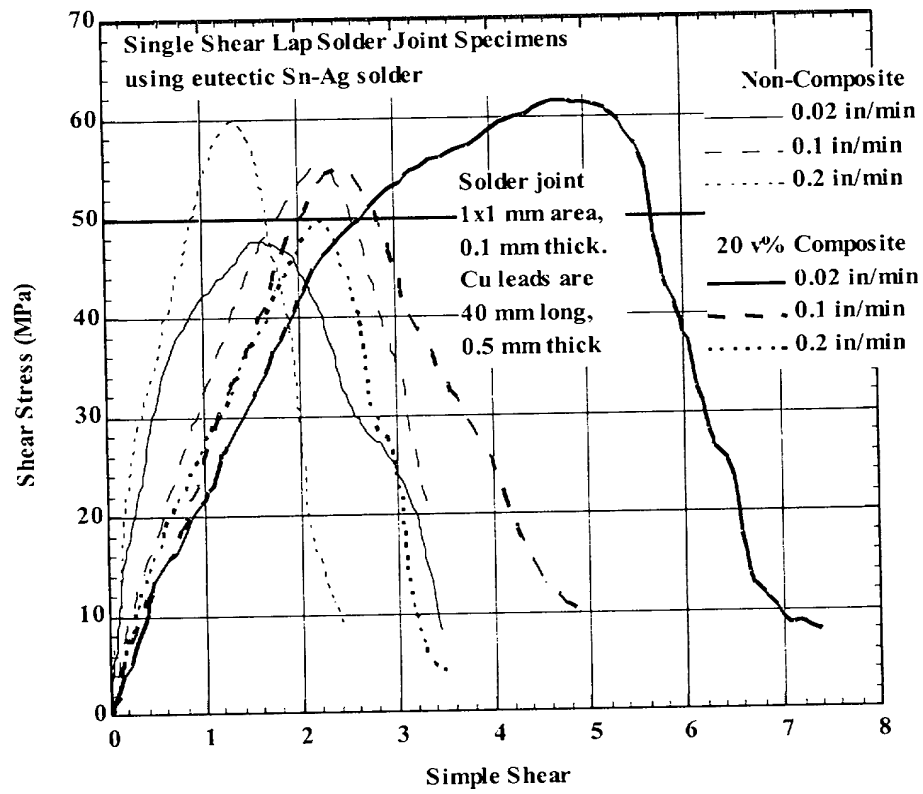


Figure 5

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHODS FOR PRODUCING LEAD-FREE *IN-SITU* COMPOSITE SOLDER ALLOYS

the specification of which (check one)

☒ [XX] is attached hereto.

☐ [] was filed on _____ as Application
Serial No. _____ and was amended on
_____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, section 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)

			Priority Claim	
(Number)	(Country)	(Day/Month/Year filed)	Yes	No
_____	_____	_____	_____	_____
(Number)	(Country)	(Day/Month/Year filed)	Yes	No
_____	_____	_____	_____	_____
(Number)	(Country)	(Day/Month/Year filed)	Yes	No
_____	_____	_____	_____	_____

DECLARATION AND POWER OF ATTORNEY

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States Provisional application(s) listed below:

PRIOR PROVISIONAL APPLICATIONS

(application serial number)

(Month / Day / Year filed)

(application serial number)

(Month / Day / Year filed)

I hereby claim the benefit under Title 35, United States Code, section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status - patented, pending, abandoned
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint DeAnn F. Smith, Reg. No. 36,683, and each principal, attorney of counsel, associate and employee of Harness, Dickey & Pierce, P.L.C., who is a registered Patent Attorney, my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. I request the Patent and Trademark Office to direct all correspondence and telephone calls relative to this application to Harness, Dickey & Pierce, P.L.C., P. O. Box 828, Bloomfield Hills, Michigan 48303 (248) 641-1600.

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Second Inventor's signature: K.N. Subramanian

Date: 6/17/98

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Date: 6/17/98

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) or Patentee: Thomas R. Bieler, et al.

Serial or Patent No.:

Filed or Issued:

For: Methods For Producing Lead-Free *In-Situ* Composite Solder Alloys

Attorney Docket No.: 6550-000013

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: Board Of Trustees Operating Michigan State University

ADDRESS OF ORGANIZATION: East Lansing, Michigan 48824

TYPE OF ORGANIZATION:

- ☒ University or other institution of higher education
☐ Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))
☐ Nonprofit scientific or educational under statute of state of The United States of America

(Name of state: _____)

(Citation of statute: _____)

- ☐ Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)) if located in The United States of America
☐ Would qualify as nonprofit scientific or educational under statute of state of The United States of America if located in The United States of America

(Name of state: _____)

(Citation of statute: _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled

**METHODS FOR PRODUCING LEAD-FREE *IN-SITU*
COMPOSITE SOLDER ALLOYS**

by inventor(s) Thomas R. Bieler, K.N. Subramanian and Sunglak Choi described in

☒ the specification filed herewith

☐ application serial no. _____ filed _____

☐ patentno. _____ issued _____

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) AND 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: _____

ADDRESS: _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

NAME: _____

ADDRESS: _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

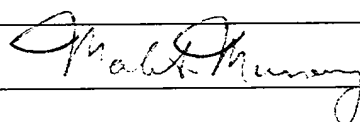
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Mark A. Murray

Title in Organization: Associate VP for Business & Finance

Address of Person Signing: Michigan State University
East Lansing, Michigan 48824

Signature:  Date: 30 June 98